



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Howard J. Federoff et al. Art Unit : 1614  
Serial No. : 09/997,848 Examiner : Unknown  
Filed : November 29, 2001  
Title : HELPER VIRUS-FREE HERPESVIRUS AMPLICON PARTICLES AND USES  
THEREOF

**BOX SEQUENCE**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

In response to the communication dated August 14, 2002 (copy enclosed), applicants submit herewith a Sequence Listing in computer-readable form as required by 37 CFR §1.824. In addition, applicants submit a statement under 37 CFR §1.821(f).

Applicants hereby submit that the enclosures fulfill the requirements under 37 C.F.R. §1.821-1.825. No new matter has been added.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 12610-011001.

Respectfully submitted,

Date: September 5, 2002

Andrew W. Torrance  
Andrew W. Torrance, Ph.D., J.D.  
Reg. No. 51,108

Fish & Richardson P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

10211126.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Date of Deposit September 5, 2002  
Toni M. Sousa  
Signature  
Toni M. Sousa  
Typed or Printed Name of Person Signing Certificate



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,848	11/29/2001	Howard J. Federoff	12610-011001 / 6-11406-97

LEE CREWS, PH.D.  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804



CONFIRMATION NO. 4642

## FORMALITIES LETTER



\*OC000000008621380\*

Date Mailed: 08/14/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE